



FEDERAL RETIREMENT THRIFT INVESTMENT BOARD  
77K Street, NE Washington, DC 20002

September 16, 2021

MEMORANDUM FOR THE EXECUTIVE DIRECTOR and BOARD MEMBERS

FROM: Suzanne Tosini, Acting Chief Risk Officer

SUBJECT: Quarterly Vendor Risk Assessment – 2<sup>nd</sup> Quarter Calendar Year (CY) 2021

The purpose of this memorandum is to provide a summary of the Quarterly Vendor Financial Assessment conducted for the 2<sup>nd</sup> quarter of CY 2021.

**Objective**

The objective of the quarterly vendor financial assessment is to identify any key vendor risks, including, but not limited to, financial health, operational, reputational, credit, cyber, and external risks that may affect the services these vendors provide to FRTIB and therefore their capability to fulfill the contractual obligations to FRTIB.

**Analysis and Conclusion**

The Office of Enterprise Risk Management analyzed available vendor data<sup>1</sup> for the following key vendors:

- Accenture (ACN)
- BlackRock, Inc. (BLK)
- Broadridge Financial Solutions (BR)
- DataBank, Ltd. (Privately held company)<sup>2</sup>
- Equinix, Inc. (EQIX)
- Fidelity National Information Services (FIS)
- MetLife, Inc. (MET)
- RA Outdoors, LLC (Privately held company)<sup>2</sup>
- Serco Group Plc (SRP)
- Science Applications International Corp. (SAIC)
- State Street Bank (STT)

Based on our analysis of the above listed vendors, we find no indication that they are unable to fulfill their contractual obligations to FRTIB. We will continue to monitor these vendors on a quarterly basis and inform you of any changes to the vendor risk profile.

<sup>1</sup> Sources: Company filings, YCharts, News Articles, BitSight, and Bloomberg data estimates

<sup>2</sup> For privately held companies, there is limited amount of information reported by financial news and data service companies such as Bloomberg.