

# Internal Audit Update

PRESENTED BY: Barbara Holmes, Chief Audit Executive,  
Office of Executive Director  
THE FEDERAL RETIREMENT THRIFT  
INVESTMENT BOARD

June 22, 2020



**Thrift Savings Plan**

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# Agenda

- I. CY 2020/2021 Internal Audit Plan
  - A. CY 2020 – Order of Audits scheduled
  - B. CY 2020 – Internal Audit Plan updated

# CY2020 Internal Audit Plan – Timing of Audits

CY2020 Internal Audit Plan

Audit	January	February	March	April	May	June	July	August	September	October	November	December
Budget Process												
Government Purchase Card												
On-Boarding/Off Boarding of Contractors												
On-Boarding/Off Boarding of Contractors (Rev)												
SPU/Narrowly Defined Scope												
SPU/Narrowly Defined Scope (Rev)												
Annual FISMA Audit												

## Legend

	Planned
	Revised
	In Process
	Complete

# CY2021 Internal Audit Plan – Timing of Audits

CY2021 Internal Audit Plan (Tentative)

Audit	January	February	March	April	May	June	July	August	September	October	November	December
Travel Card Audit*												
Review of the Capital Asset Management Policy (and Procedures) **												
Insider Threat												
Procurement & Contract Management												
Compliance Program												
Annual FISMA Audit												

## Legend

	Planned
	In Process
	Complete
	Removed

\* Originally planned to review Travel Card audit findings, however Program Office has provided closure packages for all findings.

\*\* The review of Capital Asset Management policy and procedures is follow up to 2019 Fixed Asset Audit.