

Audit Findings Remediation Activity

PRESENTED BY
THE OFFICE OF ENTERPRISE RISK MANAGEMENT (OERM)



Thrift Savings Plan

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Audit Findings Remediation Activity

Auditor Activity

Auditor Activity	FY2014	FY 2015	FY 2016	FY 2017 (cumulative as of Q3 end)
Auditor Start	92	119	184	275
Auditor Add	62	101	117	196
Auditor Closed	35	36	26	55
Auditor End	119	184	275	416

Auditor Activity	FY 2017 Details		
	Q1	Q2	Q3
Auditor Start	275	304	314
Auditor Add	29	22	145
Auditor Closed	0	12	43
Auditor End	304	314	416

FRTIB Activity

FRTIB Activity	FY2014	FY 2015	FY 2016	FY 2017 (cumulative as of Q3 end)
FRTIB Start	35	54	117	248
Auditor Add	62	101	117	196
FRTIB Closed	43	38	-14	87
FRTIB End	54	117	248	357

FRTIB Activity	FY 2017 Details		
	Q1	Q2	Q3
FRTIB Start	248	240	259
Auditor Add	29	22	145
FRTIB Closed	37	3	47
FRTIB End	240	259	357