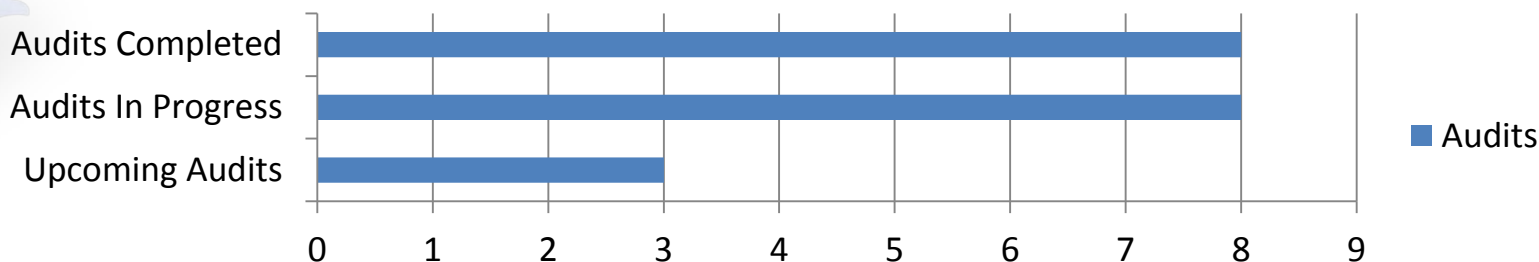


# FY 2015 External Audit Activity



Audits Completed	Audits in Progress	Upcoming Audits
Special Projects II	BlackRock	Penetration Testing*
Mainframe	Participant Support	Board Staff
Computer Access	Lifecycle Funds	Account Maintenance
G-Fund	System Enhancements	
Loans	Withdrawals	
Annuity Operations	IT Operations	
2014 Annual F/S Audit	TSP Website	
2015 Mid-Year F/S Review	IRS Form 945 Audit	

Legend
DOL/KPMG Audits**
CLA Audits
Other Audits

\* Under discussion with DHS and EBSA.

\*\* Does not include audits conducted and to be conducted at non-FRTIB entities; IBC/Department of Interior and the CIA OIG.

# External Audit Activity for October-Dec 2015

## **EBSA/KPMG Audit Status**

- Annuities Operations - One “Other” Finding – Agency Controls
- BlackRock & L-Funds – Concluding Reporting
- Participant Support – Entering Reporting
- System Enhancements, Withdrawals, and IT Operations – Concluding Fieldwork
- Website Controls – Fieldwork in Progress

## **CLA Audit Status**

- Final F/S Financial and IT Fieldwork is ongoing

## **IRS Audit Status**

- 2013 Form 945 Audit Fieldwork is Concluding

# External Audit – Next Steps

FRTIB Continues to Adapt to Support External Audit Activities:

- Audit Finding Closure Date Rebaselining
- Maturing the Audit Finding Remediation Process
- Finalizing timeline for FY16 Audits with DOL